## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Matthias Vogel et al. Art Unit: 2135 Serial No.: 10/642,500 Examiner: Beemnet W. Dada

Filed : August 18, 2003 Conf. No. : 1709

Title : MANAGING ACCESS CONTROL INFORMATION

## MAIL STOP AMENDMENT Commissioner for Patents

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## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(Z)(ii), only copies of foreign patent documents and/or nonpatent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

In addition, the Examiner is hereby notified that the above-identified application relates to U.S. Application Serial No. 10/642,499.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The fee in the amount of \$180.00 in payment of the late submission fee of §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06 1050.

Respectfully submitted.

Reg. No. 58 680

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Date: 3/28/07

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			Sheet 01	
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13906-134001	Application No. 10/642,500	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Matthias Vogel et al.		
		Filing Date August 18, 2003	Group Art Unit 2135	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0178119	11-2002	Griffin et al.			
	AB	2002/184535	12-2002	Laracuente et al.			
	AC	5,539,906	07-1996	Abraham et al.			
	AD	5,941,947	08-1999	Brown et al.			
	AE						
	AF						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AG	0913966	05-1999	Europe				
	AH							

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.				
Initial	ID	Document			
	AI	Barkley, et al. "Managing Role/Permission Relationships Using Object Access Types," Proceedings of the 3rd ACM Workshop on Role-Based Access Control, New York, US, October 22-23, 1998, pp. 73-80.			
	AJ	Deng, et al., "A Dynamic Access Control Model for Object-Oriented System," Institute of Electrical and Electronics Engineers, New York, US, October 13-15, 1993, pp. 159-163.			
	AK	Schaad, et al. "The Role-Based Access Control System of a European Bank: A Case Study and Discussion," Proceedings of The 6th ACM Symposium on Access Control Models and Technologies, Chantilly, VA, May 3-4, 2001, pp. 3-9.			
	AL				

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.